

The number of Smallest parts of j^{th} overpartitions of n

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Abstract:

Sylvie Corteel and Jeremy Lovejoy [7] defined *overpartitions* and George E Andrews derived formula for the number of smallest parts of *partitions* of a positive integer n . In this paper the concepts of j^{th} overpartition and $r - j^{\text{th}}$ overpartition of n are introduced and a formula for the number of smallest parts of the j^{th} overpartition is derived by using $r - j^{\text{th}}$ overpartitions of n .

Keywords: *partition, j^{th} overpartition, $r - j^{\text{th}}$ overpartition, smallest parts of the partition and $r - j^{\text{th}}$ overpartition* of positive integer n .

Subject classification: 11P81 Elementary theory of *partitions*.

Introduction:

The author derived in his thesis [4] relationships between the i^{th} smallest parts and greatest parts for a *partitions* of n as well as *overpartitions*. The concept of *overpartition* of n [5],[6] may extended in a natural way to j^{th} overpartition for an integer n . It is natural to ask whether one can derive expressions for the number of smallest parts and that of greatest parts to this context. This requires the notion of $r - j^{\text{th}}$ overpartition of n .

We divide this paper into two sections. Section 1 contains definitions and notation . In section 2 the main results on j^{th} overpartitions.

Section 1:

1.1 Definition of j^{th} overpartition.

The j^{th} overpartition of n is defined as a non-increasing sequence of natural numbers whose sum is n in which first (equivalently, the final) occurrence of a number may be j times overlined. We denote the j^{th} overpartition of λ , by $\overline{\lambda}^j$ and the number of j^{th} overpartitions of n by $\overline{p(n)}^j$. The overlined parts form a partition into distinct parts.

1.2 Example of j^{th} overpartition, the 27 second overpartitions of 4 are

4, $\overline{4}$, $\overline{\overline{4}}$, $3+1$, $\overline{3}+1$, $\overline{\overline{3}}+1$, $3+\overline{1}$, $\overline{3}+\overline{1}$, $\overline{\overline{3}}+\overline{1}$, $3+\overline{\overline{1}}$, $\overline{3}+\overline{\overline{1}}$, $\overline{\overline{3}}+\overline{\overline{1}}$, $2+2$, $\overline{2}+2$, $\overline{\overline{2}}+2$, $2+1+1$, $\overline{2}+1+1$, $\overline{\overline{2}}+1+1$, $2+\overline{1}+1$, $\overline{2}+\overline{1}+1$, $\overline{\overline{2}}+\overline{1}+1$, $2+\overline{\overline{1}}+1$, $\overline{2}+\overline{\overline{1}}+1$, $\overline{\overline{2}}+\overline{\overline{1}}+1$, $1+1+1+1$, $\overline{1}+1+1+1$, $\overline{\overline{1}}+1+1+1$

1.3 Definition of r - j^{th} overpartitions:

The j^{th} overpartition of n with r parts is called r - j^{th} overpartition of n .

1.4 Example of r - j^{th} overpartition, the 9, 3-second overpartitions of 4 are

$2+1+1$, $\overline{2}+1+1$, $\overline{\overline{2}}+1+1$, $2+\overline{1}+1$, $\overline{2}+\overline{1}+1$, $\overline{\overline{2}}+\overline{1}+1$, $2+\overline{\overline{1}}+1$, $\overline{2}+\overline{\overline{1}}+1$ and $\overline{\overline{2}}+\overline{\overline{1}}+1$

Let $\overline{\xi(n)}^j$ denote the set of all j^{th} overpartitions of n and $\overline{p(n)}^j$ the cardinality of $\overline{\xi(n)}^j$ for $n \in \mathbb{N}$ and $\overline{p(0)}^j = 1$. If $1 \leq r \leq n$ write $\overline{p_r(n)}^j$ for the number of j^{th} overpartitions of n each consisting of exactly r parts, i.e r - j^{th} overpartitions of n .

If $r \leq 0$ or $r \geq n$ we write $\overline{p_r(n)}^j = 0$. Let $\overline{p(k,n)}^j$ represent the number of j^{th} overpartitions of n using natural numbers at least as large as k only.

Let $\overline{spt(n)}^j$ denote the number of smallest parts including repetitions in all

j^{th} overpartitions of n . For $i \geq 1$ let us adopt the following notation.

$$m_s(\overline{\lambda}^j) = \text{number of smallest parts of } \overline{\lambda}^j.$$

$$\overline{spt}(n)^j = \sum_{\lambda \in \xi(n)} m_s(\overline{\lambda}^j)$$

1.5 Existing generating functions are given below.

Function	Generating function
$p_r(n)$	$\frac{q^r}{(q)_r}$
$p_r(n-k)$	$\frac{q^{r+k}}{(q)_r}$
number of divisors	$\sum_{n=1}^{\infty} \frac{q^n}{(1-q^n)}$
sum of divisors	$\sum_{n=1}^{\infty} \frac{n \cdot q^n}{(1-q^n)} \quad (1.5.1)$

where $(q)_k = \prod_{n=1}^k (1-q^n)$ for $k > 0$, $(q)_k = 1$ for $k = 0$ and $(q)_k = 0$ for $k < 0$.

$$\text{Since } (a)_n = (a; q)_n = (1-a)(1-aq)(1-aq^2)\dots(1-aq^{n-1}) \quad [1]$$

1.6: Derivation for $r - j^{\text{th}}$ overpartitions:

$$\overline{p_1}(n)^j = (j+1) p_1(n) = \frac{(j+1)q}{(1-q)} = \frac{q(-j, q)_1}{(q)_1}$$

$$\overline{p_2}(n)^j = (1+j)^2 p_2(n) - (1+j) j p_1\left(\left\lfloor \frac{n}{2} \right\rfloor\right) = \frac{(1+j)^2 q^2}{(1-q)(1-q^2)} - \frac{(1+j) j q^2}{(1-q^2)}$$

$$= \frac{(1+j)q^2}{(1-q^2)} \left\{ \frac{(1+j)}{(1-q)} - j \right\} = \frac{q^2(1+j)(1+jq)}{(1-q)(1-q^2)} = \frac{q^2(-j, q)_2}{(q)_2}$$

By induction, we get

$$\overline{p_r(n)}^j = \frac{q^r (1+j)(1+jq)(1+jq^2)\dots(1+jq^{r-1})}{(1-q)(1-q^2)(1-q^3)\dots(1-q^r)} = \frac{q^r (-j, q)_r}{(q)_r}$$

$$\text{and } \overline{p_r(n-a)}^j = \frac{q^{r+a} (-j, q)_r}{(q)_r} \quad (1.6.1)$$

And also we observe that the generating function for the number of j^{th} overpartitions is

$$\sum_{n=0}^{\infty} \overline{p(n)}^j q^n = \prod_{n=1}^{\infty} \frac{1+jq^{n-1}}{1-q^n}$$

Section 2:

$$\mathbf{2.1 Theorem:} \quad \overline{spt(n)}^j = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k, n-tk)}^j + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k+1, n-tk)}^j + (j+1)d(n) \quad (2.1.1)$$

Proof :[2] Let $n = (\lambda_1, \lambda_2, \dots, \lambda_r) = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l})$ be any r -partition of n with l distinct parts. For corresponding to it there are $(j+1)^l$ times $r-j^{\text{th}}$ overpartitions of n .

(2.1.2)

Case 1: [3] Let $r > \alpha_l = t$ that means $\lambda_{r-t} > k$

Subtract all k 's, we get $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}})$

Hence $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}})$ is a $(r-t)$ -partition of $n-tk$ with $l-1$ distinct parts and each part greater than $k+1$. For corresponding to it they are $(j+1)^{l-1}$ times $(r-t)-j^{\text{th}}$ overpartitions of $n-tk$. From (2.1.2) we know that the total number of $r-j^{\text{th}}$ overpartitions are $(j+1)^l$.

Now we get, $(j+1)$ times the number $\overline{p_{r-t}(k+1, n-tk)}^j$ of $r-j^{\text{th}}$ overpartitions from r -partitions of n with exactly t smallest elements as k .

Case 2: Let $r > \alpha_l > t$ that means $\lambda_{r-t} = k$

Omit k 's from last t places, we get $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l-t})$

Hence $n - tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l - t})$ is a $(r-t)$ -partition of $n - tk$ with l distinct parts and the least part is k . For corresponding to it there are $(j+1)^l$ times of $r - j^{\text{th}}$ overpartitions of $n - tk$ with least part k .

Now we get the number $\overline{f_{r-t}(k, n - tk)}^j$ of $r - j^{\text{th}}$ overpartitions from a r -partitions of n with more than t smallest elements as k .

Case 3: Let $r = \alpha_l = t$ that means all parts in the partition are equal. For each r -partition with equal parts have $(j+1)$ times of $r - j^{\text{th}}$ overpartitions of n .

From cases (1), (2) and (3) we get $r - j^{\text{th}}$ overpartitions of n with t smallest parts as k is

$$\begin{aligned} & \overline{f_{r-t}(k, n - tk)}^j + (j+1) \cdot \overline{p_{r-t}(k+1, n - tk)}^j + (j+1)\beta \\ & \text{where } \beta = 1 \text{ if } r|n \text{ and } \beta = 0 \text{ otherwise} \\ & = \overline{f_{r-t}(k, n - tk)}^j + \overline{p_{r-t}(k+1, n - tk)}^j + j \cdot \overline{p_{r-t}(k+1, n - tk)}^j + (j+1)\beta \\ & = \overline{p_{r-t}(k, n - tk)}^j + \overline{p_{r-t}(k+1, n - tk)}^j + (j+1)\beta \end{aligned}$$

The number of partitions of n with equal parts is equal to the number of divisors of n . Since the number of divisors of n is $d(n)$. Then the number of j^{th} overpartitions of n with all parts are equal is $(j+1)d(n)$.

From [6], the number of smallest parts in j^{th} overpartitions of n is

$$\overline{spt(n)}^j = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k, n - tk)}^j + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k+1, n - tk)}^j + (j+1)d(n)$$

$$\mathbf{2.2 Theorem:} \quad \overline{p_r(k+1, n)}^j = \overline{p_r(n - kr)}^j \quad (2.2.1)$$

Proof : Let $n = (\lambda_1, \lambda_2, \dots, \lambda_r), \lambda_i > k \forall i$ be any $r - j^{\text{th}}$ overpartition of n .

Subtracting each part by k , we get $n - kr = (\lambda_1 - k, \lambda_2 - k, \dots, \lambda_r - k)$

Hence $n - kr = (\lambda_1 - k, \lambda_2 - k, \dots, \lambda_r - k)$ is a $r - j^{\text{th}}$ overpartition of $n - kr$.

Therefore the number of $r - j^{\text{th}}$ overpartitions of n with parts greater than or equal to $k+1$ is

$$\overline{p_r(n-kr)}^j$$

2.3 Theorem:
$$\sum_{n=0}^{\infty} \overline{spt(n)}^j q^n = \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{(j+1)q^n}{(1-q^n)} \frac{(q)_{n-1}}{(-j, q)_{n+1}}$$

Proof: From theorem (2.2.1), we have

$$\overline{spt(n)}^j = \sum_{r=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k, n-tk)}^j + j \sum_{r=1}^{\infty} \sum_{t=1}^{\infty} \overline{p(k+1, n-tk)}^j + (j+1)d(n)$$

Replace $k+1$ by k , n by $n-tk$ for first part and n by $n-tk$ for second part in (2.2.1)

$$= \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \sum_{n=1}^{\infty} \overline{p_r(n-tk-r(k-1))}^j + j \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \sum_{n=1}^{\infty} \overline{p_r(n-tk-rk)}^j + (j+1)d(n)$$

Where $d(n)$ is the number of positive divisors of n .

From (1.6.1)

$$\begin{aligned} &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{r+tk+r(k-1)}}{(q)_r} (-j, q)_r + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{r+tk+rk}}{(q)_r} (-j, q)_r + \sum_{k=1}^{\infty} \frac{(j+1)q^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{tk+rk}}{(q)_r} (-j, q)_r + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{r+tk+rk}}{(q)_r} (-j, q)_r + \sum_{k=1}^{\infty} \frac{(j+1)q^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} q^{tk} \left[\sum_{r=1}^{\infty} \frac{(q^k)^r (-j, q)_r}{(q)_r} \right] + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} q^{tk} \left[\sum_{r=1}^{\infty} \frac{q^r (q^k)^r (-j, q)_r}{(q)_r} \right] + \sum_{k=1}^{\infty} \frac{(j+1)q^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left[\left(1 + \sum_{r=1}^{\infty} \frac{(q^k)^r (-j, q)_r}{(q)_r} \right) - 1 \right] \\ &\quad + j \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left[\left(1 + \sum_{r=1}^{\infty} \frac{(q^{k+1})^r (-j, q)_r}{(q)_r} \right) - 1 \right] + \sum_{r=1}^{\infty} \frac{(j+1)q^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left(1 + \sum_{r=1}^{\infty} \frac{(q^k)^r (-j, q)_r}{(q)_r} \right) + j \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left(1 + \sum_{r=1}^{\infty} \frac{(q^{k+1})^r (-j, q)_r}{(q)_r} \right) \end{aligned}$$

$$\begin{aligned}
&= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^r q^k}{1-q^r q^k} \right) + j \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^r q^{k+1}}{1-q^r q^{k+1}} \right) \quad \text{from [1]} \\
&= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^{r+k}}{1-q^{r+k}} \right) + j \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^{r+k+1}}{1-q^{r+k+1}} \right) \\
&= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_k} + j \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \frac{(q)_k}{(-j, q)_{k+1}} \\
&= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_k} \left[1 + j \frac{(1-q^k)}{(1+jq^k)} \right] \\
&= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{(j+1)q^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_{k+1}} \\
&= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{(j+1)q^n}{(1-q^n)} \frac{(q)_{n-1}}{(-j, q)_{n+1}}
\end{aligned}$$

2.4 Corollary: The generating function for the number $\overline{A_c(n)}^j$ of smallest parts of the j^{th} overpartitions of n which are multiples of c is

$$\sum_{n=0}^{\infty} \overline{A_c(n)}^j q^n = \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{(j+1)q^{cn}}{(1-q^{cn})} \frac{(q)_{cn-1}}{(-j, q)_{cn+1}}$$

2.5 Corollary: The generating function for the sum of smallest parts of the second j^{th} overpartitions of n is

$$\sum_{n=0}^{\infty} \text{sum spt}(n) q^n = \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{(j+1)nq^n}{(1-q^n)} \frac{(q)_{n-1}}{(-j, q)_{n+1}}$$

Proof: The generating function for the sum of smallest parts of the second j^{th} overpartitions of a positive integer n is

$$\begin{aligned} \overline{spt(n)}^j &= \sum_{r=1}^{\infty} \sum_{t=1}^{\infty} k \overline{p(k, n-tk)}^j + j \sum_{r=1}^{\infty} \sum_{t=1}^{\infty} k \overline{p(k+1, n-tk)}^j + (j+1)d(n) \\ &= \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \sum_{n=1}^{\infty} k \overline{p_r(n-tk-r(k-1))}^j + j \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \sum_{n=1}^{\infty} k \overline{p_r(n-tk-rk)}^j + (j+1)d(n) \end{aligned}$$

where

$d(n)$ is the number of positive divisors of n .

From (1.6.1)

$$\begin{aligned} &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{r+tk+r(k-1)}(-j, q)_r}{(q)_r} + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{r+tk+r(k-1)}(-j, q)_r}{(q)_r} + \sum_{k=1}^{\infty} \frac{(j+1)kq^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{tk+r(k-1)}(-j, q)_r}{(q)_r} + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{r+tk+r(k-1)}(-j, q)_r}{(q)_r} + \sum_{k=1}^{\infty} \frac{(j+1)kq^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} kq^{tk} \left[\sum_{r=1}^{\infty} \frac{(q^k)^r (-j, q)_r}{(q)_r} \right] + j \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} kq^{tk} \left[\sum_{r=1}^{\infty} \frac{q^r (q^k)^r (-j, q)_r}{(q)_r} \right] + \sum_{k=1}^{\infty} \frac{(j+1)kq^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \left[\left(1 + \sum_{r=1}^{\infty} \frac{(q^k)^r (-j, q)_r}{(q)_r} \right) - 1 \right] \\ &\quad + j \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \left[\left(1 + \sum_{r=1}^{\infty} \frac{(q^{k+1})^r (-j, q)_r}{(q)_r} \right) - 1 \right] + \sum_{r=1}^{\infty} \frac{(j+1)kq^k}{1-q^k} \\ &= \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^r q^k}{1-q^r q^k} \right) + j \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^r q^{k+1}}{1-q^r q^{k+1}} \right) \\ &= \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^{r+k}}{1-q^{r+k}} \right) + j \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left(\frac{1+jq^{r+k+1}}{1-q^{r+k+1}} \right) \\ &= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_k} + j \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \frac{(q)_k}{(-j, q)_{k+1}} \\ &= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_k} \left[1 + j \frac{(1-q^k)}{(1+jq^k)} \right] \\ &= \frac{(-j, q)_{\infty}}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{(j+1)kq^k}{(1-q^k)} \frac{(q)_{k-1}}{(-j, q)_{k+1}} \end{aligned}$$

$$\begin{aligned}
&= \frac{(-j, q)_\infty}{(q)_\infty} \sum_{n=1}^{\infty} \frac{(j+1)nq^n}{(1-q^n)} \frac{(q)_{n-1}}{(-j, q)_{n+1}} \\
\sum_{n=0}^{\infty} \frac{sum\ spt(n)q^n}{(q)_\infty} &= \frac{(-j, q)_\infty}{(q)_\infty} \sum_{n=1}^{\infty} \frac{(j+1)nq^n}{(1-q^n)} \frac{(q)_{n-1}}{(-j, q)_{n+1}} \\
&= \sum_{g_1=1}^{\infty} \left[\frac{g_1 \cdot q^{g_1} (-j, q)_{g_1}}{(q)_{g_1}} - \frac{(j+1)q^{g_1}}{(1-q^{g_1})} \sum_{g_2=1}^{g_1-1} \frac{g_2 \cdot q^{g_2} (-j, q)_{g_2}}{(q)_{g_2}} \right]
\end{aligned}$$

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