

Inequalities For The Polar Derivative of a Polynomial

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Abstract :- The geometry of polynomials explores geometrical relationships between the zeros and the coefficients of a polynomial. A classical problem in this theory is to locate the zeros of a given polynomial by determining disks in the complex plane in which all its zeros are situated. In this paper, we infer bounds for general polynomials and apply classical and new results to graph polynomials namely Wiener and distance polynomials whose zeros have not been yet investigated. Also, we examine the quality of such bounds by considering four graphs classes and interpret the results.

Keywords:- Polynomial explores, Complex plane investigated, chromatic polynomial

Introduction

Numerous graph polynomials have been extensively studied and applied inter disciplinarily, see, e.g., [1]–[4]. Early contributions in this area deal with studying the well known independence polynomial [5] and chromatic polynomial [6]. Other graph polynomials such as the Omega polynomial and Cluj polynomial have been studied in [7]. Apart from this research, polynomials have been also employed in biologically driven disciplines. For instance, Emmert-Streib [8] tackled the challenging problem of calculating knot polynomials of secondary structure elements of proteins algorithmically. Related work can be also found in [8]. Interestingly, the development of so-called topological indices such as the well-known Wiener index [9] has triggered exploring graph

polynomials too. For instance, Yan et al. [10] examined how the Wiener index changes under certain graph operations and extended their results to Wiener polynomials. Zadeh et al. [11] also investigated Wiener-type invariants of some graph operations. But note that the first paper exploring the change of the Wiener number upon operations on graphs has been contributed by Polansky and Bonchev [12]. Further, formulas for the Wiener polynomial of k -th power graphs have been investigated [13] when considering special graph classes such as paths, cycles and hypercubes (see also Theorem (1)).

In general, graph polynomials have been developed for measuring combinatorial graph invariants and for characterizing graphs. The latter problem has been studied in structural chemistry where the polynomials have been derived from chemical graphs [1], [3]. There, graphs have been characterized by several graph polynomials [14] to solve problems in the Hückel-molecular orbital theory and in the theory of aromaticity, see [3], [15]. Another intriguing field deals with investigating graph measures derived from the zeros of a graph polynomial. Seminal work has been done by Lovász et al. [16] as they explored the meaning of the largest eigenvalue of trees. Particularly they found that the leading positive eigenvalue of the characteristic polynomial can be used as a measure for detecting branching of trees. Related concepts of branching based on using the eigenvalues of a graph have been studied by Randić et al. [17] and Bonchev [18].

Later, Randić et al. [17] surveyed further eigenvalue-based measures such as the sum of the positive eigenvalues, the multiplicity of the zero eigenvalue and other spectral indices [17], [19]. Also, Dehmer et al. [20] recently developed novel spectral measures that

turned to be unique for several graph classes. Altogether this shows that graph polynomials and their zeros have been a valuable source for investigating various problems in discrete mathematics and related areas.

Apart from the research described above, the zeros of some graph polynomials have been also explored, see, e.g., [21]–[23]. In this sense, Woodall [23] explored the zeros and zero-free regions of chromatic and flow polynomials. Also, the zero distribution of chromatic and flow polynomials of graphs and characteristic polynomials of matroids have been examined by Jackson [21]. Finally Brešar et al. [24] examined the zeros of cube polynomials under certain structural conditions of the underlying graphs. Other results about the zeros of known graph polynomials have been recently reported by Ellis-Monaghan et al. [4].

The main contribution of this paper is twofold: First, we prove inclusion radii representing upper bounds for the zeros of general complex polynomials. Note that most of these statements can also be applied if the polynomials possess real coefficients as the moduli of the coefficients appear in the corresponding bounds. Second, we apply these and classical results to locate the zeros of special Wiener and distance polynomials, see [25]–[27]. This results in disks in the complex plane or intervals where the zeros of these polynomials lie. To our best knowledge, the location of zeros of the Wiener and distance polynomial has not been studied yet. Apart from proving results for special polynomials, i.e., the polynomials represent special graph classes, it is easy to generalize the results for other (general) graph polynomials by using the tools we will provide in this paper. Besides further developing the

mathematical apparatus, we evaluate the quality of the zero bounds by generating four large graph classes and interpret the numerical results.

Results

The main contribution of this paper is to locate the zeros of special graph polynomials which have been proven useful in mathematical chemistry and discrete mathematics, see [3], [14], [25]. A thorough overview of the underlying theory called *analytic theory of polynomials* can be found in [28], [29]. Note that the problem of finding bounds for the zeros of complex and real polynomials has been tackled by numerous authors, e.g., see [28], [30]–[34]. However, the existing research shows that the usefulness and performance of many such bounds has not been demonstrated yet. For this, we compare our bounds in the section ‘Numerical Results’ and demonstrate that some of the new bounds are optimal.

We now start by reproducing some important definitions and results we are going to use in our analysis.

Mathematical Preliminaries

In this section, we introduce some mathematical preliminaries [25]– [27], [35], [36].

Let $G=(V, E)$ be a finite simple graph and let $A=(a_{ij})$ be its adjacency matrix.

denotes the identity matrix. Then,

$$I$$

$$P_{G,A}(z) := \det(A - zI),$$

(1)

is the characteristic polynomial of . Straightforwardly, we obtain the distance polynomial

defined by

$$P_{G,D}(z) := \det(D - zI),$$

(2)

where is the distance matrix of . By expanding the determinant, we yield

(3)

$$P_{G,D}(z) = z^n + a_{n-2}z^{n-2} + \dots + a_1z + a_0.$$

We see that $\sum_{i=1}^{\rho(G)} d(G, i)$ is always equal to zero [26]. Denote by $\rho(G)$ the diameter of G and $d(G, i)$ is the number of pairs of vertices of G having distance i , $d(G, 1) = |E|$. Then the Wiener polynomial [25], [27] (also called Hosoya polynomial [37]) can be defined as

$$W_G(z) := \sum_{i=1}^{\rho(G)} d(G, i)z^i.$$

(4)

Further properties of $W_G(z)$ have been reported in [27]. Next, we reproduce some results due to Sagan et al. [27] and Kivka [26] giving concrete expressions for Wiener- and distance polynomials for special graph classes.

Theorem 1 Let P_n , C_n and Q_n be the path graph, cycle graph and n -dimensional cube. It holds

$$W_{P_n}(z) = (n-1)z + (n-2)z^2 + \dots + z^{n-1},$$

(5)

$$W_{C_{2n}}(z) = (2n)(z + z^2 + \dots + z^{n-1}) + nz^n,$$

(6)

$$W_{C_{2n+1}}(z) = (2n+1)(z + z^2 + \dots + z^n),$$

(7)

$$W_{Q_n}(z) = 2^{n-1} \{(1+z)^n - 1\}.$$

(8)

Theorem 2 Let K_n and S_n be the complete graph and the star graph on n vertices. It holds

$$P_{K_n, D}^n(z) = (z+1)^n(z-n+1),$$

(9)

$$P_{S_n, D}(z) = (z+2)^{n-2} [z^2 - 1 - (n-2)(2z+1)].$$

(10)

To introduce the problem of locating the zeros of polynomials, we state the following definitions.

Definition 1 Let

$$f(z) = \sum_{i=0}^n a_i z^i, a_n \neq 0, a_i \in \mathbb{C}, i = 0, 1, \dots, n,$$

(11)

be complex polynomial. The set

$$K(z_0, r) := \{z \in \mathbb{C} \mid |z - z_0| \leq r\},$$

(12)

represents a circle with central point z_0 and radius r . Further, we define

$$\hat{K}(z_0, r) := \{z \in \mathbb{C} \mid |z - z_0| < r\}.$$

(13)

Definition 2 If all zeros of $f(z)$ lie in the set given by Equation (12), is called the inclusion radius. In the simplest case, is a function of all coefficients, i.e., $r_i = r(a_0, a_1, \dots, a_n)$.

Note that a more general question namely deriving bounds depending on $p+1$ coefficients for zeros of $f(z)$ has been tackled by Montel [28], [38]. Other variants of bounds and extensions of the results due to Montel can be also found in [28].

Known Inclusion Radii

In this section, we state some classical and known results for locating the zeros of arbitrary complex-valued polynomials.

Theorem 3 (Cauchy [28]) *Let*

$$f(z) = \sum_{i=0}^n a_i z^i, a_n \neq 0, i = 0, 1, \dots, n,$$

(14)

be complex polynomial. All zeros of $f(z)$ lie in $K(0, 1 + M_1)$, where

$$M_1 := \max_{0 \leq j \leq n-1} \left| \frac{a_j}{a_n} \right|.$$

(15)

Theorem 4 (Fujiwara [39]) *Let*

$$f(z) = \sum_{i=0}^n a_i z^i, a_n \neq 0, i = 0, 1, \dots, n,$$

(16)

be complex polynomial. For $\lambda_1, \dots, \lambda_n > 0$ and $\sum_{j=1}^n \frac{1}{\lambda_j} \leq 1$, all zeros of $f(z)$ lie in

$$K\left(0, 1 + \max_{1 \leq j \leq n} \left(\frac{|a_{n-j} \lambda_j|}{|a_n|}\right)^{\frac{1}{j}}\right).$$

(17)

Theorem 5 (Enestrom-Kakeya [40]) *Let*

$$f(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_0, a_i \in \mathbb{R}, i = 0, 1, \dots, n,$$

(18)

be a polynomial with real coefficients satisfying

$$a_0 \geq a_1 \geq \dots \geq a_n > 0.$$

(19)

Then, no zeros of $f(z)$ lie in $\hat{K}(0, 1)$.

Theorem 6 (Dehmer [41]) *Let*

$$f(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_0, a_n a_{n-1} \neq 0,$$

be a complex polynomial. All zeros of $f(z)$ lie in the closed disk

$$K\left(0, \frac{1+\phi}{2} + \frac{\sqrt{(\phi-1)^2 + 4M_2}}{2}\right),$$

(20)

where

$$\phi := \left| \frac{a_{n-1}}{a_n} \right| \quad \text{and} \quad M_2 := \max_{0 \leq j \leq n-2} \left| \frac{a_j}{a_n} \right|.$$

(21)

Besides locating the zeros of polynomials, it is often important to determine the number of positive or negative zeros of polynomials with real coefficients. In this light, we state the famous Descartes Rule of Signs, see [28], [34].

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