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**CODING THEOREMS FOR THE R-NORM INFORMATION MEASURE**


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In this paper, we will give coding theorems with respect to the R-Norm Information Measure. Suppose we have discrete memory less information source with an encoding alphabet with  $D$  Symbols and code words  $x_i$  with word-lengths  $N_i$ ,  $i = 1, 2, \dots, n$ , which fulfill the Kraft inequality

$$\left( \sum_{i=1}^n D^{-n_i} \right) \leq 1 \quad (1.1)$$

Where  $D$  is the size of the code alphabet.

We next give a definition of average length  $L_R$  of cord words.

**DEFINITION:** The average length  $L_R$  with respect to R-norm information measure is for  $R \in \mathbb{R}^+$  given by

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-(n_i(R-1)/R)} \right]$$

Clearly  $L_R$  will increase for increasing word lengths. An important property of  $L_R$  is that for  $R \rightarrow 1$  it is equivalent with the average length of code words by Shannon, up to a constant.

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**Theorem:** For all integer  $D > 1$

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-(n_i(R-1)/R)} \right] > 0 \quad \text{for } R \in \mathbb{R}^+$$

**Proof:** To prove  $L_R > 0$ , we consider the following cases:

Case I: when  $R > 1$ , then  $R - 1 > 0$  and  $\frac{R}{R-1} > 0$

From (1.1), we have  $\left( \sum_{i=1}^n D^{-n_i} \right) \leq 1 \Rightarrow D^{-n_i} < 1 \Rightarrow D^{-n_i \left( \frac{R}{R-1} \right)} < 1$

Multiplying both sides of (1.3) by  $P_i$ , we get  $\Rightarrow P_i D^{-n_i \left( \frac{R}{R-1} \right)} < P_i$

Summing over  $i = 1, 2, 3, \dots, N$  both sides, we get

$$\begin{aligned} \Rightarrow \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} &< \sum_{i=1}^n P_i = 1, & \Rightarrow \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} &< 1 \\ \Rightarrow -\sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} &> -1, & \Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} &> -1 + 1 = 0 \\ \Rightarrow 1 - \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} &> 0 & & (1.4) \end{aligned}$$

Multiplying (1.1) by  $\frac{R}{R-1}$ , we get

$$\frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-(n_i(R-1)/R)} \right] > 0 \quad (1.5)$$

But  $L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-(N_i(R-1)/R)} \right]$

Thus from (1.5), we get

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-(N_i(R-1)/R)} \right] > 0 \quad \text{for } R > 1 \quad (1.6)$$

Case II: when  $0 < R < 1 \Rightarrow R - 1 < 0$  and  $\frac{R}{R-1} < 0$

From (1.1), we have

$$\left( \sum_{i=1}^n D^{-N_i} \right) \leq 1, \Rightarrow D^{-N_i} < 1, \Rightarrow D^{-N_i \left( \frac{R}{R-1} \right)} > 1 \quad (1.7)$$

Multiplying both sides of (1.7) by  $P_i$ , we get

$$\Rightarrow P_i D^{-N_i \left( \frac{R}{R-1} \right)} > P_i$$

Summing over  $i = 1, 2, 3, \dots, n$  both sides, we get

$$\begin{aligned} &\Rightarrow \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} > \sum_{i=1}^n P_i = 1 \\ &\Rightarrow \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} > 1 \\ &\Rightarrow -\sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} < -1 \\ &\Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} < -1 + 1 = 0 \\ &\Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} < 0 \end{aligned} \quad (1.8)$$

Multiplying (1.8) by  $\frac{R}{R-1}$ , we get

$$\frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R-1}{R} \right)} \right] > 0 \quad (1.9)$$

$$\text{But } L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R-1}{R} \right)} \right]$$

Thus from (1.9), we get

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-N_i \left( \frac{R-1}{R} \right)} \right] > 0 \quad \text{for } 0 < R < 1 \quad (1.10)$$

Thus from (1.6) and (1.10), we get

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n P_i D^{-n_i \left( \frac{R-1}{R} \right)} \right] > 0 \quad \text{for } R \in \mathbb{R}^+$$

**Theorem:** If  $N_i, i=1,2,\dots,n$  are in length of code words  $x_i$  then

$$\lim_{R \rightarrow 1} L_R = \sum_{i=1}^n p_i N_i \log(D)$$

**Proof:** The average length  $L_R$  with respect to R-norm information measure is for  $R \in \mathbb{R}^+$  given by

$$L_R = \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n p_i D^{-(N_i(R-1)/R)} \right] \quad (1.11)$$

Taking limit both sides as  $R \rightarrow 1$ , we get

$$\lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \frac{R}{R-1} \left[ 1 - \sum_{i=1}^n p_i D^{-(N_i(R-1)/R)} \right] = \frac{0}{0} \text{ (form)} \quad (1.12)$$

Thus by Bernoulli-L' Hospital theorem, we get

$$\lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \left[ 1 \cdot \left[ 1 - \sum_{i=1}^n p_i D^{-(N_i(R-1)/R)} \right] - R \left[ 0 - \sum_{i=1}^n p_i \frac{dT}{dR} \right] \right] \quad (1.13)$$

Where  $T = D^{-N_i(R-1)/R}$  (1.11)

Taking log both sides of (1.11), we get

$$\log T = \frac{-N_i(R-1)}{R} \log D \quad (1.15)$$

Diff w.r.t 'R' both sides of (1.15), we get

$$\begin{aligned} \frac{1}{T} \cdot \frac{dT}{dR} &= -N_i \log(D) \left[ \frac{R \cdot 1 - 1 \cdot (R-1)}{R^2} \right] \\ \frac{dT}{dR} &= -TN_i \log(D) \left[ \frac{1}{R^2} \right] = -\frac{1}{R^2} D^{-N_i(R-1)/R} [N_i \log(D)]. \end{aligned} \quad (1.16)$$

Substitute (1.16) in (1.13), we get

$$\begin{aligned} \Rightarrow \lim_{R \rightarrow 1} L_R &= \lim_{R \rightarrow 1} \left[ 1 \cdot \left[ 1 - \sum_{i=1}^n p_i D^{-(N_i(R-1)/R)} \right] - \frac{R}{R^2} \left[ \sum_{i=1}^n p_i \left[ D^{-N_i(R-1)/R} N_i \log(D) \right] \right] \right] \\ \Rightarrow \lim_{R \rightarrow 1} L_R &= \lim_{R \rightarrow 1} \left[ \left[ 1 - \sum_{i=1}^n p_i D^{-(N_i(R-1)/R)} \right] - \frac{1}{R} \left[ \sum_{i=1}^n p_i \left[ D^{-N_i(R-1)/R} N_i \log(D) \right] \right] \right] \\ \Rightarrow \lim_{R \rightarrow 1} L_R &= \left[ \left[ 1 - \sum_{i=1}^n p_i \right] - \left[ \sum_{i=1}^n p_i \left[ N_i \log(D) \right] \right] \right] = -\sum_{i=1}^n p_i N_i \log(D) \quad (1.17) \end{aligned}$$

$$\text{Thus finally } \lim_{R \rightarrow 1} L_R = -\sum_{i=1}^n p_i N_i \log(D) \quad (1.18)$$

**Theorem:** For all integer  $D > 1$

$$H_R(P) \leq L_R$$

Under the condition (1.1). Equality holds if and only if

$$N_i = -\log(P_i^R / \sum_{i=1}^n P_i^R)$$

**Proof:** To prove this theorem, we consider following cases:

Case I: when  $R > 1$

We use Holder inequality [12]

$$\sum_{i=1}^n x_i y_i \geq \left( \sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n y_i^q \right)^{\frac{1}{q}} \quad (1.19)$$

for all  $x_i \geq 0, y_i \geq 0, i = 1, 2, \dots, n$  when  $p < 1 (\neq)$  and  $p^{-1} + q^{-1} = 1$ . with equality if and only if there exists a positive number  $c$  such that

$$x_i^p = c y_i^q \quad \text{Setting} \quad x_i = P_i^{\frac{R}{R-1}} \cdot D^{-N_i}$$

$$\text{and } y_i = P_i^{\frac{R}{R-1}}, p = 1 - \frac{1}{R}, q = 1 - R$$

$$\left( \sum_{i=1}^n P_i^{\frac{R}{R-1}} D^{-N_i} P_i^{\frac{R}{R-1}} \right) \geq \left( \sum_{i=1}^n (P_i^{\frac{R}{R-1}} D^{-N_i})^{1-\frac{1}{R}} \right)^{1/1-\frac{1}{R}} \left( \sum_{i=1}^n (P_i^{\frac{R}{R-1}})^{1-R} \right)^{1/1-R} \quad (1.20)$$

$$\left( \sum_{i=1}^n D^{-N_i} \right) \geq \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left( \sum_{i=1}^n (P_i)^R \right)^{1/1-R} \quad (1.21)$$

Since  $\left( \sum_{i=1}^n D^{-N_i} \right) \leq 1$  Thus (1.21) becomes

$$\left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left( \sum_{i=1}^n (P_i)^R \right)^{1/1-R} \leq 1$$

$$\left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \leq \left( \sum_{i=1}^n (P_i)^R \right)^{1/R-1} \quad (1.22)$$

Raising power  $1/R$  both sides of (1.22), we get

$$\begin{aligned} \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) &\leq \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \\ \Rightarrow - \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) &\geq - \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \\ \Rightarrow 1 - \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) &\geq 1 - \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \end{aligned} \quad (1.23)$$

We know  $\frac{R}{R-1} > 0$  if  $R > 1$

Multiplying  $\frac{R}{R-1}$  by both side of (1.23) and we get

$$\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) \geq \frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) \quad (1.21)$$

But  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

and  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) = L_R$

Thus from (1.21), we get  $L_R \geq H_R(P)$  for  $R > 1$  (1.25)

Case II: when  $0 < R < 1$

We use Holder inequality [12]

$$\sum_{i=1}^n x_i y_i \leq \left( \sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n y_i^q \right)^{\frac{1}{q}} \quad (1.26)$$

For all  $x_i \geq 0, y_i \geq 0, i=1,2,\dots,N$  when  $P < 1 (\neq)$  and  $p^{-1} + q^{-1} = 1$ .with equality if and only if there exists a positive number  $c$  such that

$$x_i^p = c y_i^q \quad \text{Setting} \quad x_i = P_i^{\frac{R}{R-1}} \cdot D^{-n_i}$$

and  $y_i = P_i^{\frac{R}{R-1}}$ ,  $P = 1 - \frac{1}{R}$ ,  $q = 1 - R$  Thus (1.27) becomes

$$\left( \sum_{i=1}^n P_i^{\frac{R}{R-1}} D^{-N_i} P_i^{\frac{R}{R-1}} \right) \leq \left( \sum_{i=1}^n (P_i^{\frac{R}{R-1}} D^{-N_i})^{1-\frac{1}{R}} \right)^{1/1-\frac{1}{R}} \left( \sum_{i=1}^n (P_i^{\frac{R}{R-1}})^{1-R} \right)^{1/1-R}$$

$$\left( \sum_{i=1}^n D^{-N_i} \right) \leq \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left( \sum_{i=1}^n (P_i)^R \right)^{1/1-R} \quad (1.27)$$

Since  $\left( \sum_{i=1}^n D^{-n_i} \right) \leq 1$  Thus (1.27) becomes

$$\left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left( \sum_{i=1}^n (P_i)^R \right)^{1/1-R} \geq 1$$

$$\Rightarrow \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \geq \left( \sum_{i=1}^n (P_i)^R \right)^{1/R-1} \quad (1.28)$$

Raising power  $1/R$  both sides of (1.28), we have

$$\left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \geq \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow - \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \leq - \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow 1 - \left( \sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \leq 1 - \left( \sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \quad (1.29)$$

We know  $\frac{R}{R-1} < 0$  if  $0 < R < 1$

Multiplying  $\frac{R}{R-1}$  by both sides (1.29), we get

$$\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-N_i \left( \frac{R}{R-1} \right)} \right) \right) \geq \frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) \quad (1.30)$$

$$\text{But } \frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$$

$$\text{and } \frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) = L_R \quad (1.31)$$

$$\text{Thus from (1.30), we get } L_R \geq H_R(P) \quad 0 < R < 1 \quad (1.32)$$

Thus from (1.25) and (1.32), we get

$$L_R \geq H_R(P) \text{ for } R \in \mathbb{R}^+ \quad (1.33)$$

**Theorem:** For every code with length  $\{n_i\}, i = 1, 2, 3, \dots, N$ , and  $L_R$  made to satisfy

$$L_R < H_R(P) \cdot D^{\left( \frac{R-1}{R} \right)} + \frac{R}{R-1} \left[ 1 - D^{\left( \frac{R-1}{R} \right)} \right] \quad \text{for } R \in \mathbb{R}^+$$

**Proof:** To prove this theorem we consider the following cases:

Case I: when  $R > 1$

Let  $n_i$  be the positive integer satisfying the inequality by (11)

$$-\log \left( \frac{P_i^R}{\sum P_i^R} \right) \leq n_i < -\log \left( \frac{P_i^R}{\sum P_i^R} \right) + 1$$

Consider the interval

$$\delta_i = \left[ -\log \left( \frac{P_i^R}{\sum P_i^R} \right), -\log \left( \frac{P_i^R}{\sum P_i^R} \right) + 1 \right] \text{ of length 1. In every } \delta_i, \text{ there lies exactly one}$$

positive number  $n_i$ , such that

$$0 < -\log \left( \frac{P_i^R}{\sum P_i^R} \right) \leq n_i < -\log \left( \frac{P_i^R}{\sum P_i^R} \right) + 1 \quad (1.31)$$

It can be shown that the sequence  $\{n_i\}, i = 1, 2, 3, \dots, N$  thus defined, satisfies (1.1).

Thus from (1.31), we get

$$n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1$$

$$-\log D^{-n_i} < -\log\left[\left(\frac{p_i^R}{\sum p_i^R}\right) D^{-1}\right]$$

$$D^{-n_i} > \left[\frac{p_i^R}{\sum p_i^R}\right] D^{-1}$$

Raising above inequality by  $\frac{R-1}{R}$  both sides, we get

$$D^{-n_i\left(\frac{R-1}{R}\right)} > \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \quad (1.35)$$

Multiplying both sides of (1.35) by  $p_i$ , we get

$$p_i D^{-n_i\left(\frac{R-1}{R}\right)} > p_i \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} > p_i \cdot (p_i)^{R-1} \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} > (p_i)^{1+R} \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} > (p_i)^R \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \quad (1.36)$$

Summing over  $i = 1, 2, 3, \dots, N$  both sides of (1.36), we get

$$\Rightarrow \sum p_i \cdot D^{-n_i\left(\frac{R-1}{R}\right)} > (\sum p_i^R) \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow \sum p_i \cdot D^{-n_i\left(\frac{R-1}{R}\right)} > (\sum p_i^R)^{1-\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\begin{aligned}
&\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} > \left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
&\Rightarrow -\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < -\left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
&\Rightarrow 1 - \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \quad (1.37)
\end{aligned}$$

We know  $\frac{R}{R-1} > 0$  if  $R > 1$

Multiplying  $\frac{R}{R-1}$  by both sides of (1.37), we get

$$\begin{aligned}
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} \left[ 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D^{\left(\frac{1-R}{R}\right)} \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[ \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D^{\left(\frac{1-R}{R}\right)} \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[ \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D^{\left(\frac{1-R}{R}\right)} \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[ 1 - 1 + \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D^{\left(\frac{1-R}{R}\right)} \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} \left[ 1 - D^{\left(\frac{1-R}{R}\right)} \right] + \frac{R}{R-1} \left[ 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D^{\left(\frac{1-R}{R}\right)} \right] \quad (1.38)
\end{aligned}$$

But  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^N P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$  And  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^N P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) = L_R$

Thus (1.38) becomes  $L_R < H_R(P) \cdot D^{\left(\frac{R-1}{R}\right)} + \frac{R}{R-1} \left[ 1 - D^{\left(\frac{R-1}{R}\right)} \right]$  for  $R > 1$  (1.10)

Cases II: when  $0 < R < 1$  Let  $n_i$  be the positive integer satisfying the inequality

$$-\log\left(\frac{p_i^R}{\sum p_i^R}\right) \leq n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1 \quad \text{Consider the interval}$$

$$\delta_i = \left[ -\log\left(\frac{p_i^R}{\sum p_i^R}\right), -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1 \right] \quad \text{of length 1 .In every } \delta_i, \text{ there}$$

lies one positive number  $n_i$ , such that

$$0 < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) \leq n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1 \quad (1.11)$$

It can be shown that the sequence  $\{n_i\}, i = 1, 2, 3, \dots, N$  thus defined, satisfies (1.1).

Thus from (1.11), we get

$$n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1$$

$$-\log D^{-n_i} < -\log\left[\left(\frac{p_i^R}{\sum p_i^R}\right) D^{-1}\right]$$

$$D^{-n_i} > \left[\frac{p_i^R}{\sum p_i^R}\right] D^{-1}$$

Raising above inequality by  $\frac{R-1}{R}$ , we get

$$D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \quad (1.12)$$

Multiplying both sides of (1.12) by  $p_i$ , we get

$$p_i D^{-n_i \left(\frac{R-1}{R}\right)} < p_i \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\begin{aligned}
&\Rightarrow P_i D^{-n_i \left(\frac{R-1}{R}\right)} < P_i \cdot (P_i)^{R-1} \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow P_i D^{-n_i \left(\frac{R-1}{R}\right)} < (P_i)^{1+R} \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow P_i D^{-n_i \left(\frac{R-1}{R}\right)} < (P_i)^R \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \quad (1.13)
\end{aligned}$$

Summing over  $i = 1, 2, 3, \dots, N$  both sides, we get

$$\begin{aligned}
&\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right) \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right)^{1-\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow -\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} > -\left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\
&\Rightarrow 1 - \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} > 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \quad (1.11)
\end{aligned}$$

We know  $\frac{R}{R-1} < 0$  if  $0 < R < 1$

Multiplying  $\frac{R}{R-1}$  by both sides of (1.11), we get

$$\begin{aligned}
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} \left[ 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D\left(\frac{1-R}{R}\right) \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[ \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D\left(\frac{1-R}{R}\right) \right] \\
&\Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[ \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D\left(\frac{1-R}{R}\right) \right]
\end{aligned}$$

$$\begin{aligned} \Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right] &< \frac{R}{R-1} - \frac{R}{R-1} \left[ 1 - 1 + \left( \sum P_i^R \right)^{\frac{1}{R}} \right] \cdot D^{\left( \frac{1-R}{R} \right)} \\ \Rightarrow \frac{R}{R-1} \left[ 1 - \left( \sum P_i \cdot D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right] &< \frac{R}{R-1} \left[ 1 - D^{\left( \frac{1-R}{R} \right)} \right] + \frac{R}{R-1} \left[ 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \right] \cdot D^{\left( \frac{1-R}{R} \right)} \quad (1.15) \end{aligned}$$

But 
$$\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^N P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$$

And 
$$\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^N P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) = L_R$$

Thus (1.15) becomes

$$L_R < H_R(P) \cdot D^{\left( \frac{R-1}{R} \right)} + \frac{R}{R-1} \left[ 1 - D^{\left( \frac{R-1}{R} \right)} \right] \quad \text{for } 0 < R < 1 \quad (1.16)$$

Thus from (1.10) and (1.16), we get

$$L_R < H_R(P) \cdot D^{\left( \frac{R-1}{R} \right)} + \frac{R}{R-1} \left[ 1 - D^{\left( \frac{R-1}{R} \right)} \right] \quad \text{for } R \in \mathbb{R}^+ \quad (1.17)$$

**Theorem:** For all integer  $D > 1$

$$\sum P_i D^{-\bar{n}_i \left( \frac{R-1}{R} \right)} = \left( \sum P_i^R \right)^{\frac{1}{R}} \quad \text{for } R \in \mathbb{R}^+ \quad (1.18)$$

where  $\bar{n}_i = -\log_D \left( \frac{P_i^R}{\sum P_i^R} \right)$

**Proof:** Since 
$$\bar{n}_i = -\log_D \left( \frac{P_i^R}{\sum P_i^R} \right) \quad (1.19)$$

It can be written as

$$\begin{aligned} -\log_D D^{-\bar{n}_i} &= -\log_D \left( \frac{P_i^R}{\sum P_i^R} \right) \\ D^{-\bar{n}_i} &= \left( \frac{P_i^R}{\sum P_i^R} \right) \quad (1.50) \end{aligned}$$

Raising power  $\frac{R-1}{R}$  both sides of (1.50), we get

$$D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} = \left( \frac{P_i^R}{\sum P_i^R} \right)^{\left(\frac{R-1}{R}\right)}$$

$$D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} = \left( \frac{P_i^{R-1}}{\left(\sum P_i^R\right)^{\left(\frac{R-1}{R}\right)}} \right) \quad (1.51)$$

Multiplying both sides of (1.51) by  $P_i$ , we get

$$P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} = \left( \frac{P_i^R}{\left(\sum P_i^R\right)^{\left(\frac{R-1}{R}\right)}} \right) \quad (1.52)$$

Summing over  $i = 1, 2, 3, \dots, N$  both sides of (1.52), we get

$$\sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} = \left( \frac{\sum P_i^R}{\left(\sum P_i^R\right)^{\left(\frac{R-1}{R}\right)}} \right)$$

$$= \left(\sum P_i^R\right)^{\frac{1}{R}} \quad (1.53)$$

Thus from (1.53), we get

$$\sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} = \left(\sum P_i^R\right)^{\frac{1}{R}} \quad \text{for } R \in \mathbb{R}^+ \quad (1.51)$$

**Theorem:** For every code length  $\{n_i\}; i = 1, 2, 3, \dots, N$ ,  $L_R$  can be made to satisfy

$$L_R > H_R(P) + \frac{R}{R-1}(1-D) \quad (1.55)$$

**Proof:** To prove this theorem we consider the following cases:

Cases I: when  $R > 1$

$$\text{Suppose } \bar{n}_i = -\log \left( \frac{P_i^R}{\sum P_i^R} \right) \quad (1.56)$$

Clearly  $\bar{n}_i$  and  $\bar{n}_i + 1$  satisfy the ‘equality’ in Holder’s Inequality[12]. Moreover,  $\bar{n}_i$  satisfies Kraft’s Inequality (1.1)

Suppose  $n_i$  is the unique integer between  $\bar{n}_i$  and  $\bar{n}_i + 1$ , and then obviously,  $n_i$  satisfies Kraft’s

Inequality (1.1), we have

$\bar{n}_i \leq n_i < \bar{n}_i + 1$  Now consider  $\bar{n}_i \leq n_i$  It can be written as

$$-\log D^{-\bar{n}_i} \leq -\log D^{-n_i}, \quad D^{-n_i} \leq D^{-\bar{n}_i} \quad (1.57)$$

Raising power  $\frac{R}{R-1}$  both sides of (1.57), we get

$$D^{-n_i \left(\frac{R-1}{R}\right)} \leq D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} \quad (1.58)$$

Multiply by  $P_i$  both sides of (1.58), we get

$$P_i D^{-n_i \left(\frac{R-1}{R}\right)} \leq P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} < DP_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} \quad (1.59)$$

Summing over  $i = 1, 2, 3, \dots, N$  both sides of (1.59), we get

$$\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} < D \sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} \quad (1.60)$$

Using (1.51) in (1.60), we get

$$\begin{aligned} \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &< D \left( \sum P_i^R \right)^{\frac{1}{R}} \\ \Rightarrow -\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &> -\left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D \\ \Rightarrow 1 - \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &> 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D \end{aligned} \quad (1.61)$$

We know  $\frac{R}{R-1} > 0$  if  $R > 1$

Multiplying both sides of (1.61) by  $\frac{R}{R-1}$ , we get

$$\begin{aligned} \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} \left( 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D \right) \\ \Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} - \frac{R}{R-1} \left( \sum P_i^R \right)^{\frac{1}{R}} \cdot D \end{aligned}$$

$$\Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) > \frac{R}{R-1} - \frac{R}{R-1} \left( 1 - 1 + \sum P_i^R \right)^{\frac{1}{R}} \cdot D$$

$$\Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) > \frac{R}{R-1} (1-D) + \frac{R}{R-1} \left( 1 - \sum P_i^R \right)^{\frac{1}{R}} \cdot D \quad (1.62)$$

But  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

And  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-n_i \left( \frac{R}{R-1} \right)} \right) \right) = L_R$  Thus (1.63) becomes

$$L_R > H_R(P) + \frac{R}{R-1} (1-D) \quad \text{for } R > 1 \quad (1.63)$$

Cases II: when  $0 < R < 1$  Suppose  $\bar{n}_i = -\log \left( \frac{P_i^R}{\sum P_i^R} \right)$  (1.61)

Clearly  $\bar{n}_i$  and  $\bar{n}_i + 1$  satisfy the ‘equality’ in Holder’s Inequality [12]. Moreover,  $\bar{n}_i$  Satisfies Kraft’s inequality (1.1). Suppose  $n_i$  is the unique integer between  $\bar{n}_i$  and  $\bar{n}_i + 1$ , and then

obviously,  $n_i$  satisfies (1.1), we have  $\bar{n}_i \leq n_i < \bar{n}_i + 1$  Now consider

$\bar{n}_i \leq n_i$ , It can be written as

$$-\log D^{-\bar{n}_i} \leq -\log D^{-n_i}, \quad D^{-n_i} \leq D^{-\bar{n}_i} \quad (1.65)$$

Raising power  $\frac{R}{R-1}$  both sides of, we get,  $D^{-n_i \left( \frac{R-1}{R} \right)} \geq D^{-\bar{n}_i \left( \frac{R-1}{R} \right)}$

Multiplying both sides of (1.66) by  $P_i$ , we get

$$P_i D^{-n_i \left( \frac{R-1}{R} \right)} \geq P_i D^{-\bar{n}_i \left( \frac{R-1}{R} \right)} > D P_i D^{-\bar{n}_i \left( \frac{R-1}{R} \right)} \quad (1.66)$$

Summing over  $i = 1, 2, 3, \dots, N$  both sides of (1.66), we get

$$\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} > D \sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R}\right)} \quad (1.67)$$

Using (1.51) in (1.67), we get

$$\begin{aligned} \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &> D \left(\sum P_i^R\right)^{\frac{1}{R}} \\ \Rightarrow -\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &< -\left(\sum P_i^R\right)^{\frac{1}{R}} . D \\ \Rightarrow 1 - \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} &< 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} . D \end{aligned} \quad (1.68)$$

We know  $\frac{R}{R-1} < 0$  if  $0 < R < 1$

Multiplying both sides of (1.68)  $\frac{R}{R-1}$ , we get

$$\begin{aligned} \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} \left( 1 - \left( \sum P_i^R \right)^{\frac{1}{R}} . D \right) \\ \Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} - \frac{R}{R-1} \left( \sum P_i^R \right)^{\frac{1}{R}} . D \\ \Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} - \frac{R}{R-1} \left( 1 - 1 + \sum P_i^R \right)^{\frac{1}{R}} . D \\ \Rightarrow \frac{R}{R-1} \left( 1 - \left( \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) &> \frac{R}{R-1} (1-D) + \frac{R}{R-1} \left( 1 - \sum P_i^R \right)^{\frac{1}{R}} . D \end{aligned} \quad (1.69)$$

But  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

And  $\frac{R}{R-1} \left( 1 - \left( \sum_{i=1}^n P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) = L_R$

Thus (1.69) becomes

$$L_R > H_R(P) + \frac{R}{R-1} (1-D) \quad \text{for } 0 < R < 1 \quad (1.70)$$

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Thus from (1.63) and (1.70), we get

$$L_R > H_R(P) + \frac{R}{R-1}(1-D) \quad \text{for } R \in \mathbb{R}^+ \quad (1.71)$$

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